



Billing and Credit Working Group (BACWG)

The New York Independent System Operator
2020 System and Organization Controls Report

August 20, 2020



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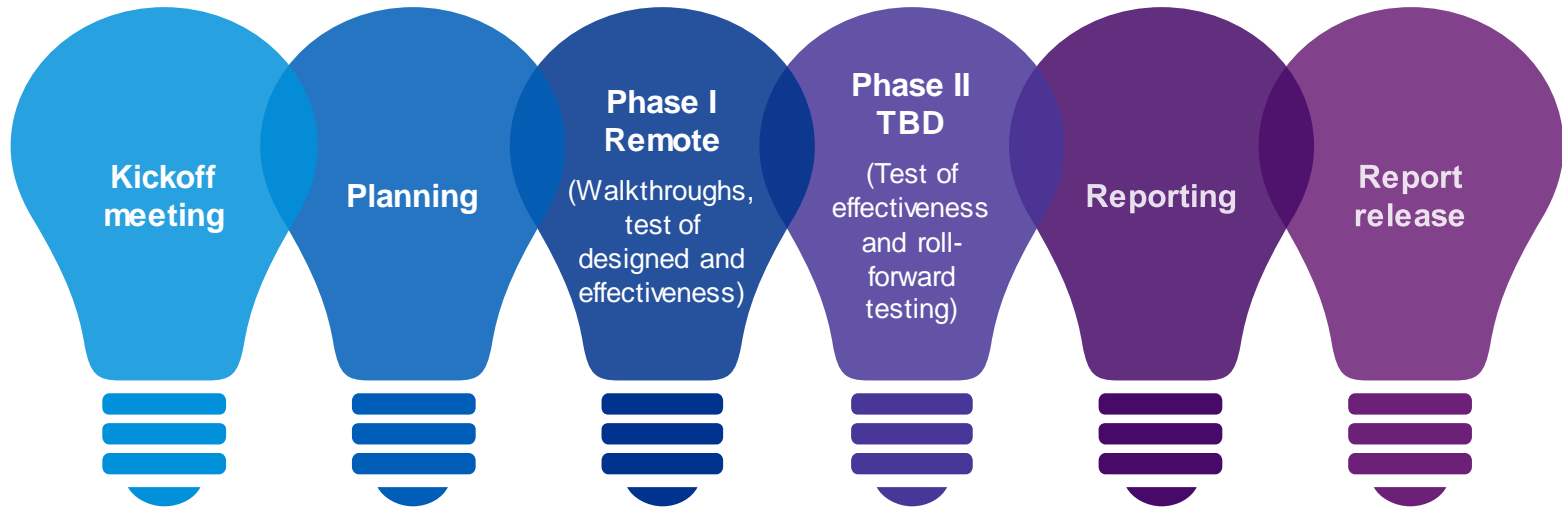
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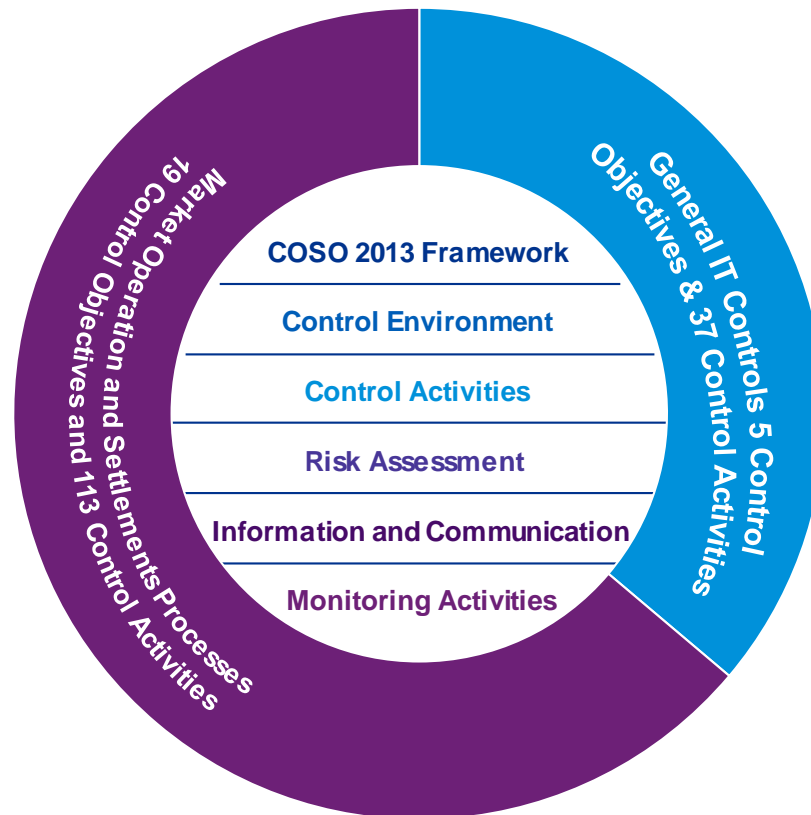
2020 SOC 1 project plan



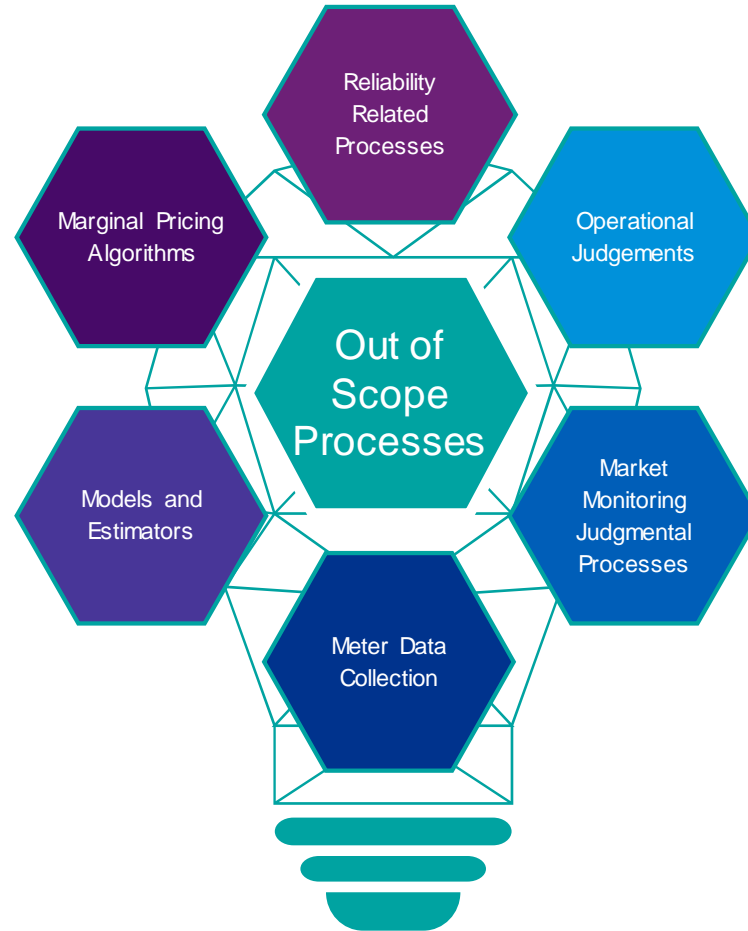
COVID 19 SOC 1 Impact:

- Phase I completed remotely
- Iterative process for changes in control activities
- Currently no materially altered control activities identified
- 17% of controls deferred for testing to Phase II
 - Deferral of observation procedures due to COVID-19

SOC 1 framework and scope



SOC 1 scope and framework (continued)





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